

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Jordi Albornoz, et al. : Date: June 20, 2003
Group Art Unit: Unassigned : IBM Corporation
Examiner: Unassigned : Intellectual Property Law
Serial No.: Unassigned : Dept. 917, Bldg. 006-1
Filed: Herewith : 3605 Highway 52 North
Title: UNIVERSAL ANNOTATION SERVER AND
INTERFACE : Rochester, MN 55901-7829

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Serial No.: Unassigned
Docket No.: ROC920030230US1

PATENT

This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(1) within three months of the filing date of the present application. Accordingly no filing fee is required.

Date: June 20, 2003

Respectfully submitted,

By William J. McGinnis

William J. McGinnis, Counsel
Registration No. 25,698

Telephone: (507) 253-5331
Fax: (507) 253-2382

Serial No.: Unassigned
Docket No.: ROC920030230US1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 2

Application Number.: Unassigned
Filing Date: June 20, 2003
First Named Inventor: Jordi Albornoz
Art Unit: Unassigned
Examiner Name: Unassigned
Attorney Docket Number.: ROC920030230US1

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the

patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 2 of 2

Application Number.: Unassigned
Filing Date: June 20, 2003
First Named Inventor: Jordi Albornoz
Art Unit: Unassigned
Examiner Name: Unassigned
Attorney Docket Number.: ROC920030230US1

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional).

² Applicant is to place a check mark here if English language translation is attached.